# Legal Counsel - Canadian Securities Exchange (CNSX Markets Inc.)

The Canadian Securities Exchange is a rapidly growing exchange invested in working with entrepreneurs, innovators, and disruptors to access public capital markets in Canada. The Exchange's efficient operating model, advanced technology and competitive fee structure help its listed issuers of all sectors and sizes minimize their cost of capital and enhance global liquidity.

Our client-centric approach and corresponding products and services ensure businesses have the support they need to confidently realize their vision.

The CSE offers global investors access to an innovative collection of growing and mature companies.

#### **Overview**

We are looking for a Legal Counsel to join our dynamic team at the Canadian Securities Exchange. The CSE is a modern and innovative exchange that supports entrepreneurs in their desire to access the Canadian public capital markets. Our Legal and Compliance department, led by the Chief Legal Officer, General Counsel and Corporate Secretary (CLO), is responsible for supporting the exchange's legal and regulatory obligations. The department partners with business lines to develop and implement new products and services and interacts with regulators, shareholders, and other stakeholders.

## Key responsibilities for the legal counsel include:

- Reviewing, and providing advice to business departments with respect to:
  - Contracts, including review and/or drafting of standard form agreements for products and services and a broad range of commercial contracts
  - Regulatory responsibilities and obligations
  - Day-to-day operations, including information security, technology initiatives, intellectual property, employment, privacy and promotion and marketing
- Tracking and filing corporate and securities filings and obligations
- Assisting in the development and maintenance of corporate policies and procedures
- Assisting in compliance and risk reviews

## You will bring to the role:

- Enthusiasm, integrity, and engagement
- A background in corporate, regulatory and/or securities law
- Experience with drafting and negotiating commercial agreements
- Excellent analytical skills and legal judgment
- Strong communication skills
- Outstanding professionalism and work ethic
- Willingness to learn and adapt
- Ability to thrive in a constantly changing environment

You are a self-starter that can proactively and effectively manage multiple tasks and priorities and takes ownership for work and projects assigned.

## Skills necessary:

- Juris Doctorate and member of the Law Society of Ontario
- 4-7 years of post-call experience in corporate or securities law
- Experience in compliance or regulatory role preferred

## As a part of our team, you will receive

- Competitive compensation
- Opportunities for advancement
- Comprehensive benefits package (health, life insurance, dental, disability, vision care)
- Employee Assistance Program
- Group Retirement Savings Plan
- Financial support for fitness programs and job-related education
- Vacation and leaves (e.g. personal days, sick leave)

#### **Physical and Environmental Requirements**

The employee spends long periods sitting, typing, and/or looking at a computer screen.

The physical demands described herein are representative of those that must be met by an employee to successfully perform the essential functions of this job. Reasonable accommodations may be made to enable individuals with disabilities to perform the essential functions upon request.

The above description is intended to describe the general nature and level of work being performed by people assigned to this classification. They are not intended to be construed as an exhaustive list of all responsibilities, duties, and skills required of CSE personnel. The employer reserves the right to change or assign other job duties at any time as necessary and as the business evolves.

Please note all offers of employment at the Canadian Securities Exchange are conditional upon the successful completion of a pre-hire background check. This may involve anything up to and including a criminal history check, reference checks and/or credit check.

## Diversity, Equity, Inclusion and Belonging at the CSE

The Canadian Securities Exchange is dedicated to innovation in the capital markets and recognizes that having a workforce with diversity of thought, ideas, experience and perspectives is essential to building and maintaining such a culture of innovation. The CSE further understands that the commitment to building a diverse, equitable and inclusive workforce that reflects the diverse environments within which we operate is an important goal. In order meet that commitment the CSE incorporates diversity, equity, and inclusiveness criteria into its talent management process.

The CSE is an Equal Employment Opportunity (EEO) employer and welcomes all qualified applicants. Applicants will receive fair and impartial consideration without regard to race, sex, color, national origin, age, disability, veteran status, gender identity, sexual orientation, religion or other legally protected status, or any other classification protected federally or provincially.

The CSE is committed to fair and accessible employment practices and to providing accommodation for persons with disabilities. If you require accommodations to apply for this opportunity, require this posting in an additional format, or if contacted for an interview and require accommodation during any stage of the recruitment process, please contact us at <a href="kelly.kane@thecse.com">kelly.kane@thecse.com</a>. We will work with all applicants to determine appropriate accommodation for individual accessibility needs.

The CSE thanks all applicants for their interest in this opportunity, however; only those under consideration will be contacted.