

POLICY 10

SPECIAL SECURITIES

Note: All securities are subject to the requirements of the “General” section of Policy 2

10.1 Eligibility for Listing

- (1) Where the securities to be listed are held out as being in compliance with specific, non-exchange mandated requirements, the Listed Issuer must disclose how compliance has been established and, if germane to the compliance determination, who has established that the securities are in compliance with the stated requirements.